

**Part C State Annual Performance Report (APR) for FFY 2009****Overview of the Annual Performance Report Development:**

See Overview description in Indicator 1.

**Monitoring Priority: Effective General Supervision Part C / Child Find**

**Indicator 6:** Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:** Percent = [(# of infants and toddler birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
FFY 2009	1.88%

**Actual Target Data for FFY 2009: 1.72%**

The actual numbers used in the calculation: **5,372/311,884 = 1.72%.**

**5,372 is the Total number of children reported receiving early intervention services in accordance with Part C on December 1, 2009, and 311,884 is the birth to 3 yrs population estimate for Arizona for 2009 reported by DAC/Westat in Table C-13 on the IDEA Data website.**

The Arizona Early Intervention Program used the following data sources for completing this indicator:

- Arizona's December 1, 2009 Table 1, Report of Infants and Toddlers Receiving Early Intervention Services data reported to OSEP;
- OSEP Table C-13. Infants and Toddlers Receiving Early Intervention Services Under IDEA, Part C, by Age and State: Fall 2009; and
- Arizona Department of Commerce 2000-2009 Composite Population Estimates by age and county.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:**

- Arizona did not meet its target of 1.88 percent for this indicator for report year 2009-2010.
- County level data review and drill down indicates that despite the statewide slippage, 6 of 15 counties demonstrated progress on this indicator, and 9 of 15 counties met or exceeded the state target of 1.88 percent.
- Overall child count data collection continued to improve during 2008-2009. However, in the spring of 2010 it was determined that data entry for new children was not timely for one large partner agency, resulting in the exclusion of some newly eligible children in the 2009 child count taken on December 1, 2009. **The State's plan for correcting this issue**

is reflected in a) the improvement activity, "Conduct monthly review of submitted data for completeness and accuracy" listed below, and b) the improvement activities from Indicator 14 regarding data management, editing and validation, and analysis

- The possibility of undercounting of the December 1 count data is further evidenced by the fact that Arizona's cumulative count for October 1, 2008 to September 30, 2009 was 10,429. Assuming a full year 0-3 cohort population estimate of 421,465, Arizona served 2.47 percent of the 0-3 population of the state at some point between October 1, 2008 and September 30, 2009.
- Because the review of child find data did not reveal the underlying causes of the slippage in child counts, and because of the possibility of an undercount, further steps were taken to review child-count related data. Since the referral, eligibility and IFSP trends for 2008-2009 did not explain the 2009 child count slippage, the data processing code used to compile the 2009 child count was reviewed and analyzed to determine whether code changes may have resulted in an undercount. In November and December of 2009, AzEIP made data processing code changes, related to contract changes, database changes, and data requirements changes. The code review revealed two issues that did in fact lead to undercounting: one issue was related to initial IFSP dates, and the second issue was related to children who transferred between local early intervention programs. These two code issues resulted in some children with active IFSPs being excluded from the child counts.

Improvement Activities	Timelines	Status
<b>Update Public Awareness Plan</b>		
Revise strategies to identify and inform families of children who: <ul style="list-style-type: none"> <li>● are potentially transient (such as migrant, homeless, and military families);</li> <li>● have premature infants or infants with other physical risk factors;</li> <li>● are involved with the behavioral health and Medicaid system; and</li> <li>● are involved with the child protective system (children are wards of the State).</li> </ul>	January 2006 and ongoing	Completed.
Continue public awareness efforts to primary referrals sources.	December 2005 and ongoing	Public awareness activities continued throughout FFY 2009, including quarterly trainings to new Child Protective Services staff, focused coordination with NICU staff in Maricopa County, and ongoing outreach to local referral sources by the AzEIP contractors.
Monitor and evaluate public awareness efforts and revise plan as needed.	January 2007 and ongoing	Completed this year; revised for FFY 2010 and beyond.

Improvement Activities	Timelines	Status
<b>Develop and maintain collaborative efforts with agencies and organizations representing primary referral sources.</b>		
Continue implementation of Child Find IGA with Arizona Department of Education (ADE).	Ongoing	Completed this year with AzEIP Service Providing Agencies and PEAs making cross referrals under the IGA. The Child Find IGA was revised at the end of FFY 2009 and new requirements will be reported in FFY 2010 and beyond.
Continue to develop and implement agreements with Early Head Start, Healthy Families, and tribal early care and education programs that outline child find and public awareness responsibilities and efforts.	Ongoing	AzEIP Service Providing agencies continue to work with their local partners to develop protocol for referrals and ongoing coordination of children and families who are enrolled in multiple programs. Focused, regional trainings to occur in FFY 2010 with AzEIP Service Providing agencies, PEAs, Early Head Start providers, and Head Start providers.
<b>Collect, analyze and utilize public awareness and child find data (e.g., referral source data, child demographics, public awareness materials) to guide efforts.</b>		
Track and analyze public awareness distribution data by county.	December 2005 and annually through 2010	Completed this year; revised for FFY 2010 and beyond
Analyze referral data to identify patterns by county or referral source, including CAPTA, health and medical community, programs serving homeless children, etc.	December 2005 and ongoing	Completed this year; revised for FFY 2010 and beyond
Analyze 618 data to identify patterns by county.	December 2005 and ongoing	Completed this year; revised for FFY 2010 and beyond
Share data analysis findings with regional child find.	December 2005 and ongoing	Discontinued. This activity was not completed; however, DES/AzEIP proposes replacement of this activity with a broader activity to ensure the collection, analysis, and

Improvement Activities	Timelines	Status
		utilization of public awareness and child find data.
<b>Data Collection</b>		
Conduct monthly review of submitted data for completeness and accuracy.	January 2008 and ongoing	Completed this year; revised for FFY 2010 and beyond
<b>Incorporated herein are the improvement activities from:</b> <ul style="list-style-type: none"> <li>Indicator # 1 regarding the team-based model.</li> <li>Indicator # 5.</li> </ul>		Completed this year; revised for FFY 2010 and beyond

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010**

Improvement Activities	Timelines	Resources
<p><b>Delete:</b> Revise strategies to identify and inform families of children who:</p> <ul style="list-style-type: none"> <li>are potentially transient (such as migrant, homeless, and military families);</li> <li>have premature infants or infants with other physical risk factors;</li> <li>are involved with the behavioral health and Medicaid system; and</li> <li>are involved with the child protective system (children are wards of the State).</li> </ul> <p><b>Replace by incorporating by reference the following IA from Indicator 5:</b></p> <p>Collect, analyze and utilize public awareness and child find data (e.g., referral source data, child demographics, public awareness materials) to guide efforts.</p> <p><b>Justification:</b> The replacement improvement activity is inclusive of a broader range of data collection and analysis and provides a broader picture of child find.</p>		
<p><b>Delete:</b> Monitor and evaluate public awareness efforts and revise plan as needed.</p> <p><b>Replace by incorporating by reference the following IA from Indicator 5:</b></p>		

Improvement Activities	Timelines	Resources
<p>Collect, analyze and utilize public awareness and child find data (e.g., referral source data, child demographics, public awareness materials) to guide efforts.</p> <p><b>Justification:</b> The replacement improvement activity is inclusive of a broader range of data collection and analysis and provides a broader picture of child find.</p>		
<p><b>Delete:</b> Continue implementation of Child Find IGA with Arizona Department of Education (ADE).</p> <p><b>Replace by incorporating by reference the following IA from Indicator 5:</b></p> <p>Develop and maintain collaborative partnerships with agencies and organizations that represent primary referral sources</p> <ul style="list-style-type: none"> <li>• Parent organizations;</li> <li>• Early Head Start;</li> <li>• AZ Department of Education and Schools;</li> <li>• AHCCCS;</li> <li>• AZ Academy of Pediatrics;</li> <li>• Child Care;</li> <li>• DES, Division of Children, Youth and Families; and</li> <li>• First Things First.</li> </ul> <p><b>Justification:</b> The replacement improvement activity is more comprehensive of the State's child find efforts.</p>		
<p><b>Delete:</b> Continue to develop and implement agreements with Early Head Start, Healthy Families, and tribal early care and education programs that outline child find and public awareness responsibilities and efforts.</p> <p><b>Replace by incorporating by reference the following IA from Indicator 5:</b></p> <p>Develop and maintain collaborative partnerships with agencies and organizations that represent primary referral sources</p> <ul style="list-style-type: none"> <li>• Parent organizations;</li> <li>• Early Head Start;</li> <li>• AZ Department of Education and Schools;</li> <li>• AHCCCS;</li> </ul>		

Improvement Activities	Timelines	Resources
<ul style="list-style-type: none"> <li>• AZ Academy of Pediatrics;</li> <li>• Child Care;</li> <li>• DES, Division of Children, Youth and Families; and</li> <li>• First Things First.</li> </ul> <p><b>Justification:</b> The replacement improvement activity is more comprehensive.</p>		
<p><b>Delete:</b> Track and analyze public awareness distribution data by county.</p> <p><b>Replace by incorporating by reference the following IA from Indicator 5:</b></p> <p>Collect, analyze and utilize public awareness and child find data (e.g., referral source data, child demographics, public awareness materials) to guide efforts.</p> <p><b>Justification:</b> The replacement improvement activity is inclusive of a broader range of data collection and analysis and provides a broader picture of child find.</p>		
<p><b>Delete:</b> Analyze referral data to identify patterns by county or referral source, including CAPTA, health and medical community, programs serving homeless children, etc.</p> <p><b>Replace by incorporating by reference the following IA from Indicator 5:</b></p> <p>Collect, analyze and utilize public awareness and child find data (e.g., referral source data, child demographics, public awareness materials) to guide efforts.</p> <p><b>Justification:</b> The replacement improvement activity is inclusive of a broader range of data collection and analysis and provides a broader picture of child find.</p>		
<p><b>Delete:</b> Analyze 618 data to identify patterns by county.</p> <p><b>Replace by incorporating by reference the following IA from Indicator 5:</b></p> <p>Collect, analyze and utilize public awareness and child find data (e.g., referral source data,</p>		

Improvement Activities	Timelines	Resources
<p>child demographics, public awareness materials) to guide efforts.</p> <p><b>Justification:</b> The replacement improvement activity is inclusive of a broader range of data collection and analysis and provides a broader picture of child find.</p>		
<p><b>Delete:</b> Share data analysis findings with regional child find.</p> <p><b>Replace by incorporating by reference the following IA from Indicator 5:</b></p> <p>Collect, analyze and utilize public awareness and child find data (e.g., referral source data, child demographics, public awareness materials) to guide efforts.</p> <p><b>Justification:</b> The replacement improvement activity is inclusive of a broader range of data collection and analysis and provides a broader picture of child find.</p>		
<p><b>Delete:</b> Conduct monthly review of submitted data for completeness and accuracy.</p> <p><b>Replace by incorporating by reference the following IA from Indicator 5:</b></p> <p>Implement system management and documentation procedures to ensure collection and reporting of accurate and timely data, including data collection, editing and validation, and reporting.</p> <p><b>Justification:</b> The replacement improvement activities are more comprehensive.</p>		

New Improvement Activities	Timelines	Resources
Move child count date from December 1 to October 1.	October 2010	DES AzEIP Staff, DES Division of Technology Services
Incorporated herein are the improvement activities for: (i) Indicator 5, regarding (a) partnerships and agencies that represent primary referral sources, and (b) regarding collection and analysis of public awareness data, and (ii) Indicator 14, regarding data management, editing and validation, and analysis.		